

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In re Application of****Agrawal et al.****Serial No.: 10/624,069****Group Art Unit: 2161****Filed: July 21, 2003****Examiner: Padmanabhan, Kavita****For: MINING ASSOCIATION RULES OVER PRIVACY PRESERVING DATA**

Commissioner of Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

**DECLARATION UNDER 37 C.F.R. §1.132**

I, Alexandre Evfimievski, hereby declare the following:

[0001] I am the sole author of the following paper: "Randomization in Privacy Preserving Data Mining," December 2002, ACM SIGKDD Explorations Newsletter, Volume 4, Issue 2, pages 43-48, referred to herein as "Randomization in Privacy Preserving Data Mining" (December 2002).

[0002] "Randomization in Privacy Preserving Data Mining" (December 2002) is an overview of recent research in the field of privacy preserving data mining and is derived from several recent papers. Specifically, the portions of "Randomization in Privacy Preserving Data Mining" (December 2002) that were cited as a basis for rejection in the January 11, 21006 Office Action on U.S. Patent Application Serial No. 10/624,069 were derived from and reference the following paper of which I was a co-author:

A. Evfimievski, R. Srikant, R. Agrawal and J. Gehrke, "Privacy Preserving Mining of Association Rules," Proc. Of 8<sup>th</sup> ACM SIGKDD Intl. Conf. on Knowledge Discovery and Data Mining (KDD), July 2002,

referred to herein as "Privacy Preserving Mining of Association Rules" (July 2002).

[0003] "Privacy Preserving Mining of Association Rules" (July 2002) was published on July 23, 2002 in conjunction with The Eighth ACM SIGKDD International Conference on Knowledge Discovery and Data Mining held July 23-26, 2002.

[0004] Ramakrishnan Srikant, Rakesh Agrawal, Johannes Gehrke and I are all co-authors of "Privacy Preserving Mining of Association Rules" (July 2002), which discusses the invention defined by claims 1-24 of U.S. Patent Application Serial No. 10/624,069, filed on July 21, 2003.

[0005] Rakesh Agrawal, Ramakrishnan Srikant and I are also joint inventors of the invention defined by claims 1-24 of U.S. Patent Application Serial No. 10/624,069.

[0006] Although Johannes Gehrke is a co-author on "Privacy Preserving Mining of Association Rules" (July 2002), he is not an inventor of the invention defined by claims 1-24 of U.S. Patent Application Serial No. 10/624,069.

[0007] The above declarations are made according to the best of my recollection upon review of the appropriate documents and notes, and I hereby acknowledge that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize the validity of the application or any patent issuing thereon. All statements that are made herein of my own knowledge are true and all statements that are made herein based on information and belief are believed to be true.

Alexandre Evfimievski APRIL 6, 2006  
Alexandre Evfimievski (Date)

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**DECLARATION UNDER 37 C.F.R. §1.132**

I, Johannes Gehrke, hereby declare the following:

[0001] I am a co-author with Alexandre Evfimievski, Ramakrishnan Srikant and Rakesh Agrawal on the following paper:

A. Evfimievski, R. Srikant, R. Agrawal and J. Gehrke, "Privacy Preserving Mining of Association Rules," Proc. Of 8<sup>th</sup> ACM SIGKDD Intl. Conf. on Knowledge Discovery and Data Mining (KDD), July 2002, referred to herein as "Privacy Preserving Mining of Association Rules" (July 2002).

[0002] I have read U.S. Patent Application Serial No. 10/624,069, including claims 1-24.

[0003] "Privacy Preserving Mining of Association Rules" (July 2002) discusses the invention that is defined by claims 1-24 of U.S. Patent Application Serial No. 10/624,069.

[0005] I understand that Alexandre Evfimievski, Ramakrishnan Srikant, and Rakesh Agrawal are joint inventors of the invention that is defined by claims 1-24 of U.S. Patent Application Serial No. 10/624,069.

[0006] Although I am a co-author of "Privacy Preserving Mining of Association Rules" (July 2002), I am not an inventor of the invention that is defined by claims 1-24 of U.S. Patent Application Serial No. 10/624,069.

[0007] The above declarations are made according to the best of my recollection upon review of the appropriate documents and notes, and I hereby acknowledge that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize the validity of the application or any patent issuing thereon. All statements that are made herein of my own knowledge are true and all statements that are made herein based on information and belief are believed to be true.

  
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Johannes Gehrke      4/10/2006  
(Date)